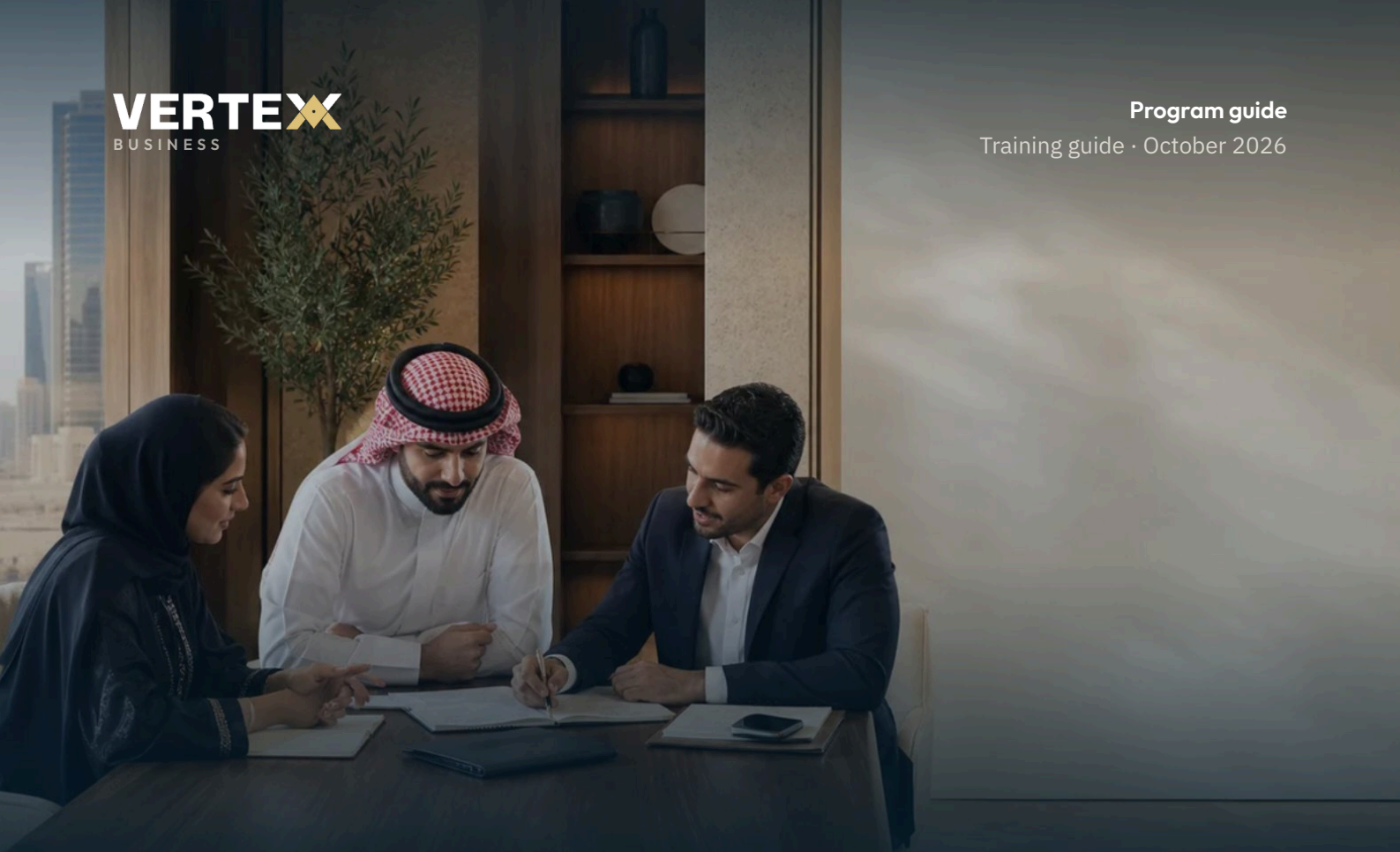




Exam training

Securities, Investment and Saudi Capital Market Rules

CME-1

CME-1A + CME-1B · Financial Academy with CISI

Training for both parts of CME-1: an international introduction to securities and investment, then the Saudi capital market rules and regulations, from equities, bonds and funds to licensing and anti-money laundering.

Delivered by Vertex Business, a professional certification training provider.



2 exams

CME-1A and CME-1B



Published weights

for every topic



In Arabic

or English



Plan with us

before you enrol

Program details and enrolment

vertexbusiness.xyz/programs/cme-1?lang=en

The language and rules of the capital market, in two exams.



About the training

CME-1 combines knowledge of investment products with the rules that govern dealing in them in Saudi Arabia. The training starts with international financial concepts, moves to the CMA's regulations, and ties each rule to a situation staff face in a capital market institution.

The exam at a glance

2	60	35
exams	minutes	pass mark
CME-1A and CME-1B	per exam	per exam, as published

Per the CMA's CME exams guideline and the Financial Academy's two exam pages, accessed 15 October 2026. The number of questions is not published; check it with the issuer.

What the training focuses on



The product and its features

How shares, bonds, derivatives and funds work, and the risks of each.



The regulatory rule

What a regulation permits or forbids, and who is responsible.

REGULATION ITEMS

How to work through a regulation item

01

Identify the regulation

Licensing, prohibited conduct or AML?

02

Identify the party

The firm, the employee or the client?

03

Apply the rule

Which obligation or prohibition applies here?

For those working in, or heading into, the Saudi capital market.



This suits you if you:

- ✓ **Work at a capital market institution**
And need the exam covering your role's general functions.
- ✓ **Are moving from banking to investment**
And want a base in products and rules together.
- ✓ **Are a finance or economics graduate**
Preparing to enter the Saudi securities sector.
- ✓ **Work in compliance or operations**
And need a structured view of CMA regulations.



Roles close to this exam

Illustrative roles that use this knowledge; hiring and registration requirements vary by function and employer.

- ✓ **Investment client officer**
Explains products to clients and takes their orders.
- ✓ **Securities operations officer**
Handles settlements and follows client accounts.
- ✓ **Compliance assistant**
Checks procedures against CMA regulations.
- ✓ **Fund sales officer**
Presents funds and checks their suitability for the client.

WHO NEEDS IT, AND LANGUAGE

Before you register for the exam

General functions

CME-1 covers the General Registrable Functions with the CMA; confirm your role's requirement with your employer.

Exam language

Each exam is offered in Arabic or English.

Prerequisites

Check with the Financial Academy at registration.

Exam details on the Financial Academy page [↗](#)

The topics of both exams and their weights

Weights are as published by the Financial Academy; related topics share a card.

CME-1A · International Introduction to Securities and Investment

Financial Services Sector · Economic Environment

6% · 8%

Financial institutions
Inflation and interest

Equity

18%

Share types and rights
Issue and trading

Bonds

14%

Bond types and features
Yield, interest rates and risk

Investments and Other Markets · Derivatives

10% · 8%

Futures and options
Other asset classes

Investment Funds · Other Financial Products

12% · 8%

Fund structures
Retail products

Regulations and Ethics · Financial Advice

10% · 6%

Conduct and ethics
Client suitability

CME-1B · General Saudi Capital Market Rules and Regulations

Introduction to the Saudi Capital Market · Capital Market Institutions Regulations

14% · 12%

The market law and the CMA's role
Obligations of market institutions

Securities Business Regulations · Licensing

12% · 4%

Types of securities business
Licensing requirements

Regulatory Requirements · Supervisory Systems

26% · 8%

Disclosure and client protection
Internal control systems

Prohibited Behaviours · AML/CTF

14% · 10%

Insider dealing and manipulation
Reporting suspicious transactions

Source: the Financial Academy's CME-1A and CME-1B pages and the CMA exams guideline, accessed 15 October 2026. Items under each card are an illustrative summary.

Every regulation shows up at work.

Each topic moves from the concept, to a numeric example or the rule text, to a work situation.

01 Investment products

Shares, bonds, derivatives and funds: how each works, how it is priced, and its risks to the client.

Applied example A retired client asks for a high return with no risk.

02 The Saudi regulatory framework

The Capital Market Law, securities business, licensing and the requirements on market institutions.

Applied example An unregistered colleague advises a client on your behalf.

03 Conduct and compliance

Insider dealing, manipulation, anti-money laundering and terrorist financing, and the duty to report.

Applied example A new client makes repeated cash deposits just under a threshold.

LEARNING OUTCOMES

What you will be able to do

01 Explain a product to a client

Its features and risks, in plain language.

02 Recognize prohibited conduct

Before it becomes a breach.

03 Spot suspicious indicators

And know the right reporting step.

04 Order revision by weight

Starting with the heaviest topics in each exam.

Products are learned through examples, rules through situations.



Short numeric examples

A bond yield, the effect of rates on its price, a fund unit value, on simple figures.

Fixes the CME-1A concepts.



A regulations table

For each regulation: who it addresses, what it requires, and what it forbids.

Puts CME-1B on one page.



A plan that fits your time

Study format, dates and any accompanying materials are confirmed with the Vertex Business team before enrolment, based on your level and available time.



Every market headline is study material.

Ask which product, and which rule applies.



STUDY PLAN

A practical plan for both exams, one after the other.

01 Start with CME-1A

International concepts are the base for the rules.

02 Give equity and bonds their time

Together about a third of the first exam.

03 Close funds and derivatives

With a short numeric example for each.

04 Move to CME-1B

Begin with Regulatory Requirements, its heaviest topic.

05 Fix prohibited conduct

Insider dealing, manipulation and AML indicators.

06 Revise by weight and error

Redo every missed question and write the rule.

Knowledge items

Defining a product, a term or a regulation.

Application items

Judging an action or a product in a given situation.

“An excellent session. I learned important things about the certification and benefited a lot... everything was explained so clearly that I had no questions left.”

— SHRM learner after a live session · translated from Arabic · published on the Vertex site

“This achievement would not have been possible without the support, guidance and training I received... which prepared me for the exam.”

— Learner who earned CPTD · translated from Arabic · public post shown on the Vertex site

“What impressed me most was the trainer’s care that the information landed and that everyone had a chance to take part... and pointing out what matters most in the material.”

— CPTD learner about the trainer after a session · translated from Arabic · published on the Vertex site



About Vertex Business

Vertex Business provides professional certification training in talent development, human resources and project management, alongside a professional diploma in medical research and team training for organizations. Training pairs Arabic explanation that keeps the exam’s English terminology with application to real workplace decisions.

[Company details](#)



Registering for the exam

Registration, scheduling and fees for CME-1A and CME-1B are handled by the Financial Academy.

[Financial Academy CME-1A exam page](#)

COMPLETION CERTIFICATE AND PROFESSIONAL CREDENTIAL

Training completion requirements and the Vertex Business completion certificate are confirmed in the enrolment details.

The CME-1 professional credential itself is awarded by the Financial Academy under its own requirements, after you pass its assessment.



Discuss your goal and choose how to study.



Scan with your phone camera

YOUR NEXT STEP

- ✓ Training topics and outcomes
- ✓ A level that fits your experience
- ✓ Available study formats and dates
- ✓ Details and price confirmed before enrolment

Open the program page →

01

Open the program page

Scan the code or tap the link.

02

Discuss your goal

Use the WhatsApp button on the program page.

03

Confirm the details

Study format, dates and price before enrolment.

vertexbusiness.xyz/programs/cme-1?lang=en